PTO/SB/01A (10-00)
Applicated for use through 10/31/2002, QMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

declaration is	_	policotion s-	
	The attached ap	•	<b>~</b>
2 일			, filed on Jan. 8, 2002,
	s amended on		(ri applicable);
iik y			<b>A.</b>
e believé that ich a patent is	I/we am/are the onginal sought:	and first inventor(s)	of the subject matter which is claimed and t
		·	
	wed and understand the amendment specifically		e-identified application, including the claims
	•		
			atent and Trademark Office all information
			CFR 1.56, including material information ation and the National or PCT Internations
	nuation-in-part application		ation and the National of PC1 internations
		• • • • • • • • • • • • • • • • • • • •	all alabamana and an analysis
			, all statements made herein on information
14 # . L . 44			
se statements	and the like are punish	able by fine or impris	conment, or both, under 18 U.S.C. 1001, ar
lse statements		able by fine or impris	conment, or both, under 18 U.S.C. 1001, ar
lse statements	and the like are punish	able by fine or impris	conment, or both, under 18 U.S.C. 1001, ar
se statements opardize the v	and the like are punish	able by fine or impris	conment, or both, under 18 U.S.C. 1001, ar
se statements opardize the v	s and the like are punish alidity of the application	able by fine or imprisor any patent issuing	conment, or both, under 18 U.S.C. 1001, an
se statements opardize the v	s and the like are punish alidity of the application FINVENTOR(S)	able by fine or imprisor any patent issuing	
se statements opardize the v  JLL NAME OF  ventor one:	and the like are punish alidity of the application  FINVENTOR(S)  Barry J Fia	able by fine or imprisor any patent issuing	sonment, or both, under 18 U.S.C. 1001, and thereon.  Sident of: Memphis TN
se statements opardize the vi-	s and the like are punish alidity of the application F INVENTOR(S)	able by fine or imprisor any patent issuing	sonment, or both, under 18 U.S.C. 1001, and thereon.
se statements operdize the v  JLL NAME OF oventor one: ignature:	and the like are punish alidity of the application  FINVENTOR(S)  Barry J Fia	lable by fine or imprisor any patent issuing	sonment, or both, under 18 U.S.C. 1001, and thereon.  Sident of: Memphis TN
se statements opardize the v  ULL NAME OF oventor one: ignature:	s and the like are punish alidity of the application  FINVENTOR(S)  Barry J Fia	lable by fine or imprisor any patent issuing	sonment, or both, under 18 U.S.C. 1001, and thereon.  Sident of: Memphis TN
ULL NAME OF nventor one:	s and the like are punish alidity of the application  FINVENTOR(S)  Barry J Fia	la Res	sident of: Memphis TN Joz
Se statements opardize the v  ULL NAME OF oventor one: ignature: oventor two:	s and the like are punish alidity of the application  FINVENTOR(S)  Barry J Fia	la Res	sident of: Memphis, TN Log USA (Date: 8/12/02)
Se statements opardize the v  ULL NAME OF oventor one: ignature: oventor two:	s and the like are punish alidity of the application  FINVENTOR(S)  Barry J Fia	la Res	sident of: Memphis, TN Log USA (Date: 8/12/02)
se statements opardize the v  JLL NAME OF ventor one: ignature: iventor two: ignature:	s and the like are punish alidity of the application  FINVENTOR(S)  Barry J Fia	la Res	sident of: Memphis TN zen of: USA (Date: 8/12/02
SE statements opardize the virus JLL NAME OF eventor one: ignature: eventor two: ignature: eventor three:	s and the like are punish alidity of the application  FINVENTOR(S)  Barry J Fia	la Res	sident of: Memphis TN Log USA (Date: 8/12/02
Ise statements operdize the violation one:  ULL NAME OF overtor one:  Signature:  Inventor two:  Signature:  Inventor three:	s and the like are punish alidity of the application  FINVENTOR(S)  Barry J Fia	la Res	sident of: Memphis TN vizen of: USA (Date: 8/12/02) esident of: Memphis, TN vizen of: USA (Date: 8/12/02)
ulse statements copardize the v	s and the like are punish alidity of the application  FINVENTOR(S)  Barry J Fia	la Res	sident of: Memphis, TN zen of: USA (Date: 8/12/02) esident of: Memphis, TN zen of: USA (Date: 8/12/02)

Burden Hour Statement: This collection of Information is required by 35 U.S.C. 115 and 37 CFR 1.83. The Information is used by the public to the (and the PTD to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box Unguithe experience Reduction Act of 1995, no persons are required to respond to a collection of information unless it display a valid OMB control number.

PTO/S8/81 (10-00) Approved for use through 10/31/2002, OMB 0851-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## DAMPOWER OF ATTORNEY OR **AUTHORIZATION OF AGENT**

Application Number	10/041,934
Filing Date	Jan. 8, 2002
First Named Inventor	Barry J. Fiala
Group Art Unit	2161
Examiner Name	
Attorney Docket Number	AO,031

I hereby appoi	int:				
OR	ners at Customer Number		<b>──</b>   ,	Place Customer Number Bar Code Label here	
Practition	er(s) named below:				
<u> </u>	Name		Registration	Number	
	Russell H. Walker		35,401		
Lar	ry W. McKenzie		28,239		
<u> </u>				·	
as my/our attorr business in the	ney(s) or agent(s) to prosecute the application United States Patent and Trademark Office	tion identific e connecte	ed above, and d therewith.	to transact all	
Please change t	he correspondence address for the above- mentioned Customer Number.	identifled a	pplication to:		
OR					
X Firm or Individual Na					
Address	Walker, McKenzie & Walk		-		
Address	6363 Poplar Ave., Suite	434			
City	Memphis	State	TN	Zip 38119	
Country	USA				
Telephone	901-685-7428	901-685-7428 Fax 901-682-6488			
I am the:  X Applicant/Inventor.  X Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).					
	SIGNATURE of Applicant or As	signee of F	lecord	· · · · · · · · · · · · · · · · · · ·	
Name	Barry J. Flala, Chief Manager, Riverborne Communications, LLC				
Signature	1/ flether				
Date	8/12/02		<del></del>		
forms if more than one	the inventors of assignees of record of the entire in signature is required, see below".	erest or their	representative(s)	are required. Submit multiple	
Ú Total of1	forms are submitted.				

JG-12-2002 11:58	WALKER, MCKENZ		901 682 6488 P.04
Under the Paperwood Reduction A	ctos 45. no persons are remitte	U.S.Paternt and Tra	ved for use through 10/31/2002. OMB 0651-0031 rk Office; U.S. DEPARTMENT OF COMMERCE tion unless it displays a valid OMB control number.
	P)	O to respond to a conection of minutes	tion unless it displays a valid OMB control number.
AUG 1 6 20	STATEMENT	UNDER 37 CFR 3.73(b)	
<b>₩</b>	O.	and Mark B. Hodes	
Application No./Particle	10/041,934	Filed/Issue Det	te: Jan. 8, 2002
Entitled Point-Of-Sa	le Activation and	Subsequent Registra	tion of Products
			ability Company
(Name of Assignee)			nership, university, government agency, etc.)
			The state of the s
states that it is:			
1. X the assignee of the	entire right, title, and int	erest; or	
2. an assignee of less	than the entire right, titl	e and interest.	
in the patent application/p	centage) of its ownership	<del> </del>	
in the paterit applicationsp	sterit identilied above by	/ virtue of eliner;	
A. [X] An assignment from	the inventor(s) of the p	atent application/patent ide	entified above. The assignment
was recorded in the which a copy there	<ul> <li>United States Patent a of is attached.</li> </ul>	nd Trademark Office at Re	el, Frame, or for
• •			
OR			;
B. [ ] A chain of title from	the inventor(s), of the p	patent application/patent ide	entified above, to the current
assignee as shown	below:	, , , , , , , , , , , , , , , , , , ,	
1. From:		To:	
The documen	it was recorded in the Ui	nited States Patent and Tra	ademark Office at
	•	, or for which a c	
2. From:	t was recorded in the Li-	To:nited States Patent and Tra	dament Office of
		nited States Patent and Tra	
		nited States Patent and Tra	ademark Office at
		or for which a	
[ ] Additional doc	cuments in the chain of t	title are listed on a supplem	iental sheet.
[x ] Copies of assignments		• •	
[NOTE: A separate co	py (i.e., the original assig	gnment document or a true	copy of the original document)
must be submitted to A	Assignment Division in a s of the USPTO. <u>See</u> MF	ccordance with 37 CFR Par	rt 3, if the assignment is to be
		-	
The undersigned (whose the	ne is andbilled pelow) is:		
0/12/0			, Chief Manager
, Dat	U	Julie	ed or printed name
		- Januar	Signature
		Chief Manager,	Riverborne Communications
			Title

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## ASSIGNMENT

2	WHEREAS, we, Barry J. Fiala ("Fiala"/Assignor), of Germantown, County of Shelby,
3	State of Tennessee and a citizen of the United States of America, whose residence and Post
4	Office address is 200 Wagner Street, Memphis, Tennessee 38103, and Mark B. Hodes
5	("Hodes"/Assignor), of Bartlett, County of Shelby, State of Tennessee and a citizen of the
6	United States of America, whose last-known residence and Post Office address was 7009 Rose
7	Trail Drive, Memphis, Tennessee 38135, are the inventors of certain new and useful
8	improvements in Point-of-Sale Activation and Subsequent Registration of Products (the
9	"Invention") as described in U.S. Provisional Application No. 60/260,058 (filed January 5, 2001)
10	entitled "Point-of-Sale Activation and Subsequent Registration of Products" (the "Provisional
11	Application") and as further described and claimed in a patent application entitled "Point-of-Sale
12	Activation and Subsequent Registration of Products" as filed in the U.S. Patent and Trademark
13	Office on January 8, 2002, as U.S. Non-Provisional Application No. 10/041,934 and as a
14	corresponding PCT application on same date (together the "U.S. and PCT Non-Provisional
15	Applications"); and,
16	WHEREAS, Riverborne Communications, LLC ("Riverborne"/Assignee), a Tennessee
17	limited liability company, whose principal place of business is 1230 N. Watkins St., Memphis,
18	Tennessee 38108, is desirous of acquiring the entire right, title and interest in and to said
19	Invention and said Provisional Application and said U.S. and PCT Non-Provisional
20	Applications;
21	NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), the receipt of
22	which in hand is hereby acknowledged by each of us, and other good and valuable consideration,
23	we, Barry J. Fiala (Assignor) and Mark B. Hodes (Assignor), by these presents do sell, assign
24	and transfer unto the said Riverborne (Assignee), our full and exclusive right, both domestic and
25	foreign, to the said Invention, as well as said Provisional Application and said U.S. and PCT
26	Non-Provisional Applications, together with any and all other provisional and non-provisional

1 patent applications therefor, both domestic and foreign, and also our entire right, title, and 2 interest in and to any and all Letters Patent that may be granted therefor, both domestic and 3 foreign, as well as any and all Letters Patent granted upon any divisional, reissue, re-examination. 4 continuation, and/or continuation-in-part applications whether or not claiming priority thereof. 5 both domestic and foreign, as well as any and all extensions of term therefor. 6 We, Barry J. Fiala and Mark B. Hodes, hereby authorize and request the Commissioner 7 of Patents and Trademarks to issue any and all said Letters Patent to the said Riverborne (Assignee), as the assignee of the entire right, title, and interest in and to the same, for its sole use 8 9 and behoof; and for the use and behoof of its legal representatives, assigns, and/or successors, to 10 the full end of the term and any extensions thereof for which any and all said Letters Patent may 11 be granted, as fully and entirely as the same would have been held by us had this assignment and 12 sale not been made. 13 And we, Barry J. Fiala and Mark B. Hodes, hereby covenant and agree that we will at any 14 time, upon request, execute and deliver any and all papers that may be necessary or desirable to 15 perfect the titles of said Assignee or its successors or assigns to said invention and to any and all 16 Letters Patent that may be granted therefor, both domestic and foreign, as well as any and all 17 Letters Patent granted upon any divisional, reissue, re-examination, continuation, and/or 18 continuation-in-part applications claiming priority thereof, both domestic and foreign, as well as 19 any and all extensions of term therefor. 20 This agreement will be interpreted in accordance with the Federal patent laws and the 21 laws of the State of Tennessee, without regard to any provisions of conflicts of laws. 22 WHEREFORE, we, Barry J. Fiala and Mark B. Hodes, with intent to be legally bound.

now subscribe to this Assignment by our signatures set forth below.

23

Executed this $12^{11}$ day of $406057$ , 2002, at the city/town of
·
( flue
Barry J. Fiala (Assignor/Inventor)
STATE OF Lennessee )
STATE OF <u>Sernessee</u> ) COUNTY OF <u>Shelby</u> SS:
Sworn and subscribed before me at the city/town of Memphi
Sworn and subscribed before me at the city/town of Memphisthis /2th day of August, 2002, by the said Barry J. Fiala, who personally
appeared before me and acknowledged the foregoing instrument to be his free act and deed.
Notary Public
My Commission expires:
5/16/06.
(SEAL)

1	Executed this day of, 2002, at the city/town of	
2		
_		
3	•	
4	Mark B. Hodes, (Assignor/Inventor)	
5	STATE OF)	
6	) SS:	
7	COUNTY OF)	
8	Sworn and subscribed before me at the city/town of	
9	this, 2002, by the said Mark B. Hodes, who	
10	personally appeared before me and acknowledged the foregoing instrument to be his free act ar	ıd
11	deed.	
12		
13	Notary Public	
14	My Commission expires:	
15	·	
16	(SEAL)	